



SPECIALIST EXPERTISE
MULTI-JURISDICTIONAL COVERAGE

Private Equity Fund Administration Services



Northern Trust



SOPHISTICATED FUND ADMINISTRATION FOR A UNIQUE ASSET CLASS

Receive specialist knowledge, exceptional expertise and client service from a leading private equity fund administrator. At Northern Trust, we will partner with you to understand your investment strategy, investors and servicing needs. Our global product suite supports multiple fund structures across multiple jurisdictions, freeing you to focus on maximizing your investment potential.





EXPERT SPECIALIZED FUND ADMINISTRATION

As a general partner (GP), you have a unique relationship with the companies in which you invest, and you spend considerable time and money participating in their management. You face growing challenges, such as demonstrating robust operating processes to your investors and meeting self-regulatory standards set by private equity associations such as the U.S. National Venture Capital Association. By working with a leading private equity fund administrator who understands your pressures, you don't have to divert resources and attention from your core activities. Let us use our continuity of expertise and staff to take care of the details so you can focus on maximizing returns for your investors.

Customized Service Solutions

Partner with Northern Trust to create customized solutions from our global capabilities for private equity fund administration services.*

Fund Establishment and Structuring

- Support the formation of funds and related fund structures, including tax treaty investment companies and feeder funds
- Liaison with tax and legal advisors and regulatory authorities to help coordinate all relevant filings and organize inaugural and other board meetings, as required

* This is a complete listing of all services offered for offshore and onshore funds. Not all services listed are offered in all locations for all fund structures.

MULTI-JURISDICTIONAL EXPERTISE

Our specialist professionals are part of a dedicated global team with a comprehensive understanding of the nuances across different jurisdictions and fund structures. You will work with experts dedicated to shaping industry thought-leadership by contributing to leading publications, including Private Equity International, and who stay abreast of market developments through events such as SuperReturn.



Northern Trust is one of the world's leading providers of asset servicing, asset management and wealth management services. Founded in 1889, we have a history of strength and stability. Today, we are a global leader in combining exceptional client service and expertise with innovative products and technology.

You can be confident in Northern Trust's more than 20 years of experience in delivering customized private equity fund administration solutions for boutique as well as large institutions.

Financial Reporting

- Comprehensive services, including preparation of periodic management and statutory accounts
- Provision of accounting and other information to assist LPs with their filing requirements

Partnership Accounting and Tax Support

- Industry-leading technology, Investran, developed in cooperation with administrators, managers and accounting firms
- U.S. tax support services

Capital Drawdowns

- Capital drawdown processes to suit individual client styles
- Management of the entire process, from the receipt of money to cash management before the investment

Distributions

- Handling of distributions, typically made to investors immediately following the realization of one or more investments, and conversion of currencies as required
- Maintenance of banking relationships with the institution of choice

Investment and Divestment Execution

- Administration of all aspects of investment execution for a wide range of private equity funds in a variety of jurisdictions

Tax Reporting

- Assistance with U.S. tax schedules
- Support for non-U.S. LP tax schedules

Investor Reporting

- Financial reporting package, including annual returns and transaction details, tailored to LP requirements and GP preferences

Financial Services

- Investment deposit accounts with competitive interest rates on major currencies and no minimum balance requirements
- Fixed term deposits accepted for flexible periods
- Money transfer using a variety of methods

Our global capabilities also can include:

Corporate Secretarial (Offshore Service)

- Comprehensive board meeting services, including the convening of annual and extraordinary general meetings, producing board papers and taking minutes
- Corporate and statutory records filed and maintained

Regulatory Reporting and Compliance

- Regulatory compliance across multiple domiciles
- Coordination of all statutory audits and filing accounts with the appropriate regulatory authorities

Stock Exchange Listings

- Listing sponsor and guidance for applications on various exchanges

Multi-Product, Multi-Jurisdictional

Take advantage of servicing for a broad spectrum of fund structures, from simple to most complex. Northern Trust provides the operational infrastructure for a range of fund types, including buy out, mezzanine, life science, secondaries, communications, sustainability and turn-arounds. Many of these funds have a global investment reach, with particular focus on Europe, the Americas and the Far East.

Funds and related entities domiciled in nearly 30 jurisdictions are administered from our offices in Chicago, Guernsey, Dublin, Limerick, Jersey and Luxembourg. From conventional funds to alternative asset classes, including hedge funds and funds of hedge funds and property funds, Northern Trust provides a range of fund administration and associated services globally.



LOCAL SERVICE, GLOBAL SUPPORT

A leading provider in the offshore markets in Europe, we expanded our global capabilities and expertise to the United States in 2007. Now, you can receive local service from staff supported by the same specialist technology, infrastructure and disciplines that are used globally. Our carefully structured processes are designed to adapt to unique requirements while providing the highest standards of integrity and risk control.



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Specialist Technology

Your fund information is managed effectively throughout the private equity lifecycle by our professionals, using Investran's industry-leading specialist technology to support this unique asset class.

Your data is efficiently handled in an environment with anti-virus and firewall protection, with partnership accounting, portfolio management and reporting.



Client Focused

Receive exceptional client service and work with people who understand private equity. We are committed to developing long-term partnerships with your staff. We put ourselves in your place to better understand your culture, strategy, challenges and objectives so we can truly tailor our service to your needs.

Partner with staff who regularly receive training and attend specialist courses and conferences to keep their skills and knowledge up-to-date. Regardless of your location or fund domicile, you benefit from the same professional support and expertise because we share best practices, ideas and knowledge through our dedicated global business unit.

Beyond Private Equity Administration

With Northern Trust, you can combine fund administration with a wide range of services including foreign exchange, banking and cash management solutions. Take advantage of our capabilities across hedge funds, real estate funds, infrastructure funds and traditional asset classes. We are committed to delivering a solution designed to address your needs.

NORTHERN TRUST LOCATIONS

Amsterdam

Bangalore

Beijing

Chicago

Dublin

Guernsey

Hong Kong

Jersey

Limerick

London

Luxembourg

Melbourne

New York

Singapore

Tokyo

Toronto

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Investment products and services are:

NOT FDIC INSURED | NO BANK GUARANTEE | MAY LOSE VALUE

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